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January 6, 2005 Date Mailed Yuko Tanaka Name

Signature

January 6, 2005

Date

Attorney's Docket No. 42P11429

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:)
Liu et al.	Examiner: Pritchett, Joshua L
Application No. 09/881,218) Art Unit: 2872
Filed: June 13, 2001))
For: METHOD AND APPARATUS FOR TUNING A BRAGG GRATING IN A SEMICONDUCTOR SUBSTRATE))))

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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	37 C.I	F.R. §1.97(b).	
_X		F.R. §1.97(c). If so, the osure Statement is one of	n enclosed with this Information of the following:
	A sta	tement pursuant to 37 C	F.R. §1.97(e) <u>or</u>
<u>X</u>	A che	eck for \$ <u>180.00</u> for the f	ee under 37 C.F.R. § 1.17(p).
		F.R. §1.97(d). If so, the osure Statement are the	n enclosed with this Information following:
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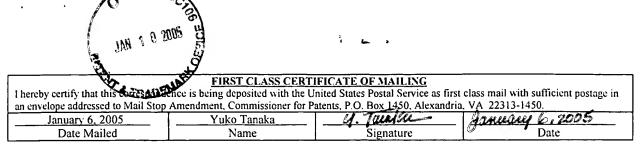
Respectfully submitted,

BLAKELY, SOKQLOFF, TAYLOR & ZAFMAN LLP

Dated: Jan. 6 200

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BLAKELY, SOKQLOFF, TAYLOR & ZAFMAN LLP

Dated: Jan. 6, 205

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Substitute	for Form 1449/PTO	· · · · · · · · · · · · · · · · · · ·	Complet	e if Known
	INFORMATION DISCLOS	URF	Application Number	09/881,218
		OF	Filing Date	June 13, 2001
	STATEMENT BY APPLIC	AMT BOOK	First Named Inventor:	Liu et al.
	(use as many sheets as necessary)	1 0 2005 g	Art Unit	2872
ļ		L JAN 1 8 COS	Examiner Name	Pritchett, Joshua L.
		Y. 8.		

Sheet	1	of t	2	Attorney Docket Number	42P11429
		U.S. PATE	T DOWNENTS	6	
Examiner Initials*	Cite No.	Document Number Number-Kind Code ² (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

Examiner Initials*	Cite No.'		Document Number Number-Kind Code ² (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<u>-</u>	1.	US-	5,195,161	03-16-1993	Adar et al.	
	2.	US-	5,247,528	09-21-1993	Shinozaki et al.	
	3.	US-	5,285,274	02-08-1994	Tanno et al.	
	4.	US-	5,315,437	05-24-1994	Alfano et al.	
	5.	US-	5,448,404	09-05-1995	Schrenk et al.	
	6.	US-	5,668,900	09-16-1997	Little et al.	
	7.	US-	5,751,466	05-12-1998	Dowling et al.	
	8.	US-	5,764,829	06-09-1998	Judkins et al.	
	9.	US-	5,796,902	08-18-1998	Bhat et al.	
	10.	US-	5,801,378	09-01-1998	Hane et al.	
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	12.	US-	6,075,908	06-13-2000	Paniccia et al.	
	13.	US-	6,233,381 B1	05-15-2001	Borrelli et al.	
	14.	US-	6,330,383 B1	12-11-2001	Cai et al.	
1.	15.	US-	6,343,167 B1	01-29-2002	Scalora et al.	
	16.	US-	6,459,533 B1	10-01-2002	Clapp et al.	

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	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

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Substitute fo	r Form 1	449/PTO	Comp	lete if Known	٠,
INFORMATION DISCLOSURE SPATEMENT BY APPLICANT (use as any sheets as necessary)			Application Number 09/881,218		
		Filing Date	June 13, 2001		
		First Named Inventor:	Liu et al.		
		Art Unit	2872		
JAN 9	0 2005	3	Examiner Name	Pritchett, Joshua L.	
Short	Ó	of 2	Attorney Docket Number	42P11429	
E. m.	OFMER		LITERATURE DOCUMENTS		
Examiner Initials*	Cite No ¹	Include name of the author (in CA item (book, magazine, journa number(s), p	APITAL LETTERS), title of the article I, serial, symposium, catalog, etc.), d publisher, city and/or country where p	ate, page(s), volume-issue published	T ²
	17.		ized Surface-Corrugated Gratings ECHNOLOGY LETTERS, Vol. 1		
	18.		g Coefficient Modulation of Wave ed Grating," <i>IEEE PHOTONICS</i> October 1994.		
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